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JC904 U.S. PTO  
10/05/01

# UTILITY PATENT APPLICATION AND FEE TRANSMITTAL (SMALL ENTITY)

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No.  
500006/114

Total Pages

First Named Inventor or Application Identifier

Neall W. Humphrey

Express Mail Label No.

EL585706909US

J1040 U.S. PTO  
09/973286

10/05/01

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing.)
2. ☒ Specification Total Pages
- Descriptive title of the invention -
- Cross References to Related Applications -
- Statement Regarding Fed sponsored R & D -
- Reference to Microfiche Appendix -
- Background of the Invention -
- Brief Summary of the Invention -
- Brief Description of the Drawings (if filed) -
- Detailed Description -
- Claim(s) -
- Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets
4. ☒ Oath or Declaration Total Pages
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 C.F.R. 1.63(d))  
(for continuation/divisional with Box 17 completed)  
(Note Box 5 below)
- i. ☐ Deletion of Inventor(s)  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 C.F.R.  
1.63(d)(2) and 1.33(b).
5. ☒ Incorporation By Reference (useable if Box 4b is checked).  
The entire disclosure of the prior application, from which  
a copy of the oath or declaration is supplied under Box  
4b, is considered as being part of the disclosure of the  
accompanying application and is hereby incorporated  
by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if  
applicable, all necessary)
- a. ☐ Computer readable copy
- b. ☐ Paper copy (identical to computer copy)
- c. ☐ Statement verifying identity  
of above copies

8. ☒ Assignment Papers (cover sheet & documents)
9. ☐ 37 C.F.R. 3.73(b) Statement ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS  
Citations
12. ☒ Preliminary Amendment
13. ☐ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☐ Small Entity ☒ Statement filed in prior appln.  
Statement(s) Status still proper and desired.
15. ☐ Certified Copy of Priority Document(s)  
(If foreign priority is claimed)
16. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information

- ☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No. : (fill  
in)

## 18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Labelor ☒ New correspondence address below

OPPENHEIMER WOLFF & DONNELLY LLP  
2029 Century Park East, Suite 3800  
Los Angeles, California 90067 U.S.A.  
Telephone (310) 788-5000 • Fax (310) 277-1297  
Attn: Louis J. Bovasso

# FEE CALCULATION

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	20 - 20	X	\$ 9.00	
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	3 - 3	X	\$ 40.00	
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			\$270.00	
				BASIC FEE (37 CFR 1.16(a))	\$370.00
				Total of above Calculations =	
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28)				
				TOTAL =	\$370.00

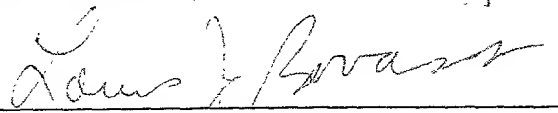
6. ☒ Small Entity Status
- a. ☒ A small entity statement is enclosed.
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.
7. ☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 16-2230:
- a. ☒ Fees required under 37 CFR 1.16.
- b. ☒ Fees required under 37 CFR 1.17.
- c. ☐ Fees required under 37 CFR 1.18.
8. ☒ A check in the amount of \$ 370.00 is enclosed.
9. ☐ Other \_\_\_\_\_

Issuance of the official Filing Receipt at an early date is in order.

Express Mailing Label No. EL585706909US. I hereby certify that on October 5, 2001, I am depositing this correspondence and all listed attachments with the United States Postal Service "Express Mail Post Office to Addressee," service under 37 C.F.R. § 1.110, addressed to Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

  
Deborah A. Purnell

Dated: October 4, 2001

  
Louis J. Bovasso, Reg. 24,075

## OPPENHEIMER WOLFF & DONNELLY LLP

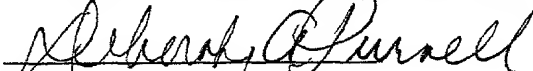
2029 Century Park East, 38th Floor  
Los Angeles, California 90067-3024  
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Facsimile: (310) 277-1297

**COPY**

**PATENT**

Client/Matter No. 500006-90

I certify that on October 5, 2001, which is the date I am signing this certificate, this correspondence and all identified attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231.

  
Deborah A. Purnell

**Applicant:** Neall W. Humphrey

**Serial No.** 09/814,906

**Filed:** March 22, 2001

**Title:** FREESTANDING PORTABLE LAMP

DISPLAY PACKAGE

Examiner: David T. Fidei

Art Unit: 3728

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Assistant Commissioner for Patents  
Washington, D.C. 20231

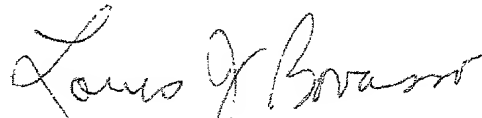
**LETTER AND NOTICE OF FILING OF DIVISIONAL APPLICATION**

Sir:

Responsive to the Notice of Allowance mailed September 19, 2001, applicant confirms the election of the invention of Group 1 without traverse.

Applicant is filing a divisional application directed to Figs.14 to30.

Respectfully submitted,



Dated: October , 2001

Louis J. Bovasso  
OPPENHEIMER WOLFF & DONNELLY LLP  
2029 Century Park East, Suite 3800  
Los Angeles, California 90067-3024  
310/788-5000

LJB:dp

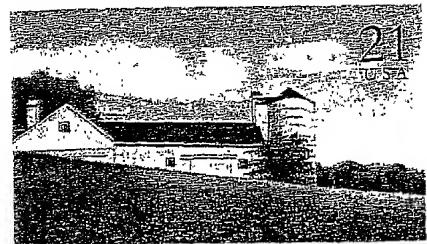
PATENT

Receipt is hereby acknowledged for the following at the U.S. Patent and Trademark Office in Washington, D.C.

Client: Trade Source International  
Inventor: Neall W. Humphrey  
Serial No. 09/814,906  
Filed: March 22, 2001  
TITLE: FREESTANDING PORTABLE LAMP DISPLAY PACKAGE  
OWD #: 500006-90 Date of Deposit: October 5, 2001

- ☒ Letter and Notice of Filing of Divisional Application  
☒ Return Postcard

LB:dp  
LA: 288502 v01 10/05/2001



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